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NOTICE OF ALLOWANCE AND FEE(S) DUE

25908

7590

06/29/2010

NOVOZYMES NORTH AMERICA, INC. 500 FIFTH AVENUE SUITE 1600 NEW YORK, NY 10110 EXAMINER

KAM, CHIH MIN

ART UNIT PAPER NUMBER

1656

DATE MAILED: 06/29/2010

ſ	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
١	10/560,260	12/09/2005	Dorotea Raventos Segura	10328.204-US	9630

TITLE OF INVENTION: ANTIMICROBIAL PEPTIDES

ĺ	APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
•	nonprovisional	NO	\$1510	\$300	\$0	\$1810	09/29/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

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If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

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III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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500 FIFTH AVE SUITE 1600	S NORTH AMER ENUE	9/2010 EICA, INC.	I h Str ad tra	ereby certify that th	rtificate of Mailing or Tran his Fee(s) Transmittal is bei with sufficient postage for fi 1 Stop 1SSUE FEE addres TO (571) 273-2885, on the	nsmission ng deposited with the United irst class mail in an envelope s above, or being facsimile date indicated below.	
NEW YORK, N	Y 10110					(Depositor's name)	
			_			(Signature)	
						(Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/560,260 TITLE OF INVENTION	12/09/2005 i: ANTIMICROBIAL PI	EPTIDES	Dorotea Raventos Segui	a	10328.204-US	9630	
APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	E FEE TOTAL FEE(S) DU	E DATE DUE	
nonprovisional	NO	\$1510	\$300	\$0	\$1810	09/29/2010	
EXAM	IINER	ART UNIT	CLASS-SUBCLASS	7			
KAM, CI	HIH MIN	1656	514-002000	_			
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. The Address indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorneys or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)					document has been filed for		
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Typed or printed name				Registration N	No		
This collection of inform an application. Confiden submitting the complete this form and/or suggest Box 1450, Alexandria, V Alexandria, Virginia 223	tiality is governed by 35 dapplication form to the ions for reducing this bu /irginia 22313-1450. DO	CFR 1.311. The informatic 5 U.S.C. 122 and 37 CFR e USPTO. Time will vary orden, should be sent to the O NOT SEND FEES OR	on is required to obtain or 1.14. This collection is e v depending upon the induction office COMPLETED FORMS	retain a benefit by stimated to take 12 tvidual case. Any coper, U.S. Patent and FO THIS ADDRESS	the public which is to file (a minutes to complete, includ omments on the amount of Trademark Office, U.S. De S. SEND TO: Commissione	nd by the USPTO to process) ing gathering, preparing, and time you require to complete partment of Commerce, P.O. r for Patents, P.O. Box 1450,	

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10/560,260	10/560,260 12/09/2005 Dorotea Raventos Segura		10328.204-US	9630	
25908 7590 06/29/2010			EXAMINER		
NOVOZYMES N	NORTH AMERICA,	KAM, CHIH MIN			
500 FIFTH AVEN	UE	ART UNIT	PAPER NUMBER		
SUITE 1600 NEW YORK, NY	10110		1656 DATE MAILED: 06/29/201	0	

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 591 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 591 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)
	10/560,260	SEGURA ET AL.
Notice of Allowability	Examiner	Art Unit
	CHIH-MIN KAM	1656
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.31	S (OR REMAINS) CLOSED i i) or other appropriate comm RIGHTS. This application is	n this application. If not included unication will be mailed in due course. THIS
1. This communication is responsive to <u>4/19/10</u> .		
2. ☑ The allowed claim(s) is/are <u>40,41 and 43-86</u> .		
 Acknowledgment is made of a claim for foreign priority unally All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have 3. ☒ Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)). * Certified copies not received: 	re been received. re been received in Application	on No
Applicant has THREE MONTHS FROM THE "MAILING DATE noted below. Failure to timely comply will result in ABANDONI THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		e a reply complying with the requirements
 A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which given 		
 CORRECTED DRAWINGS (as "replacement sheets") mu (a) including changes required by the Notice of Draftsper 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner Paper No./Mail Date Paper No./Mail Date Replacement as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT 	rson's Patent Drawing Revie r's Amendment / Comment o 1.84(c)) should be written on the header according to 37 Closit of BIOLOGICAL MAT	the drawings in the front (not the back) of FR 1.121(d).
Attachment(s) 1. Notice of References Cited (PTO-892) 2. Notice of Draftperson's Patent Drawing Review (PTO-948) 3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 4. Examiner's Comment Regarding Requirement for Deposit of Biological Material //Chih-Min Kam/ Primary Examiner, Art Unit 1656	6. ☐ Interview S Paper No. 7. ☑ Examiner's	nformal Patent Application Summary (PTO-413), /Mail Date s Amendment/Comment s Statement of Reasons for Allowance

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DETAILED ACTION

Status of the Claims

1. Claims 40-86 are pending.

Applicants' amendment filed April 19, 2010 is acknowledged. Applicants' response has been fully considered. Claim 60 has been amended. Therefore, claims 40-86 are examined.

Withdrawn Informalities

2. The previous objection to the specification regarding the amino acid sequences of G-X₁-X₂-X₃-R-X₄-X₅-X₆-K-I-X₇-X₈-K-X₉-X₁₀-K-X₁₁-X₁₂-X₁₃-X₁₄-I-K-X₁₅-X₁₆-X₁₇-X₁₈-L-V-P (SEQ ID NO:1) and G-X₁-X₂-X₃-R-X₄-X₅-X₆-K-I-X₇-X₈-K-X₉-X₁₀-K-X₁₁-X₁₂-Z (amino acids 1-19 or 1-29 of SEQ ID NO:1) is withdrawn in view of applicants' amendment to the specification, applicants' submission of a new version of Sequence Listing, and applicants' response at page 14 in the amendment filed April 19, 2010; as well as Examiner's Amendment (See below).

Withdrawn Claim Rejections - 35 USC § 112

3. The previous rejection of claims 40-79 under 35 U.S.C. 112, second paragraph, is withdrawn in view of applicants' amendment to the claims, applicants' submission of a new version of Sequence Listing, and applicants' response at page 14 in the amendment filed April 19, 2010.

Examiner's Amendment

An **Examiner's Amendment** to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

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Authorization for this examiner's amendment was given in a telephone interview with Elias Lambiris on June 23, 2010.

Examiner's Amendments to the Specification:

Please replace the term " $G-X_1-X_2-X_3-R-X_4-X_5-X_6-K-I-X_7-X_8-K-X_9-X_{10}-K-X_{11}-X_{12}-Z$ (amino acids 1-19 of SEQ ID NO:1);" in the paragraph on page 1, lines 26-35 of the specification, which has been amended in the amendment filed April 22, 2010, with the following term " $G-X_1-X_2-X_3-R-X_4-X_5-X_6-K-I-X_7-X_8-K-X_9-X_{10}-K-X_{11}-X_{12}-Z$ (amino acids 1-19 or 1-29 of SEQ ID NO:1);".

Please replace the term " $G-X_1-X_2-X_3-R-X_4-X_5-X_6-K-I-X_7-X_8-K-X_9-X_{10}-K-X_{11}-X_{12}-Z$ (amino acids 1-19 of SEQ ID NO:1);" in the paragraph on page 4, lines 1-12 of the specification, which has been amended in the amendment filed April 22, 2010, with the following term " $G-X_1-X_2-X_3-R-X_4-X_5-X_6-K-I-X_7-X_8-K-X_9-X_{10}-K-X_{11}-X_{12}-Z$ (amino acids 1-19 or 1-29 of SEQ ID NO:1);".

Please replace the term "G- X_1 - X_2 - X_3 -R- X_4 - X_5 - X_6 -K-I- X_7 - X_8 -K- X_9 - X_{10} -K- X_{11} - X_{12} -Z (amino acids 1-19 of SEQ ID NO:1);" in the paragraph on page 5, lines 2-15 of the specification, which has been amended in the amendment filed April 22, 2010, with the following term "G- X_1 - X_2 - X_3 -R- X_4 - X_5 - X_6 -K-I- X_7 - X_8 -K- X_9 - X_{10} -K- X_{11} - X_{12} -Z (amino acids 1-19 or 1-29 of SEQ ID NO:1);".

Please replace the term " $G-X_1-X_2-X_3-R-X_4-X_5-X_6-K-I-X_7-X_8-K-X_9-X_{10}-K-X_{11}-X_{12}-Z$ (amino acids 1-19 of SEQ ID NO:1);" in the paragraph on page 6, line 23-page 7, line 4 of the specification (there are two places), which has been amended in the amendment filed April 22, 2010, with the following term " $G-X_1-X_2-X_3-R-X_4-X_5-X_6-K-I-X_7-X_8-K-X_9-X_{10}-K-X_{11}-X_{12}-Z$ (amino acids 1-19 or 1-29 of SEQ ID NO:1);".

Please replace the term "G-X₁-X₂-X₃-R-X₄-X₅-X₆-K-I-X₇-X₈-K-X₉-X₁₀-K-X₁₁-X₁₂-Z (amino acids 1-19 of SEQ ID NO:1);" in the paragraph on page 7, line 29-page 8, line 16 of the specification (there are two places), which has been amended in the amendment filed April 22,

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2010, with the following term " $G-X_1-X_2-X_3-R-X_4-X_5-X_6-K-I-X_7-X_8-K-X_9-X_{10}-K-X_{11}-X_{12}-Z$ (amino acids 1-19 or 1-29 of SEQ ID NO:1);".

Please replace the term " $G-X_1-X_2-X_3-R-X_4-X_5-X_6-K-I-X_7-X_8-K-X_9-X_{10}-K-X_{11}-X_{12}-Z$ (amino acids 1-19 of SEQ ID NO:1);" in the paragraph on page 9, lines 12-21 of the specification, which has been amended in the amendment filed April 22, 2010, with the following term " $G-X_1-X_2-X_3-R-X_4-X_5-X_6-K-I-X_7-X_8-K-X_9-X_{10}-K-X_{11}-X_{12}-Z$ (amino acids 1-19 or 1-29 of SEO ID NO:1);".

Please replace the term " $G-X_1-X_2-X_3-R-X_4-X_5-X_6-K-I-X_7-X_8-K-X_9-X_{10}-K-X_{11}-X_{12}-Z$ (SEQ ID NO:1);" in the paragraph on page 10, lines 4-12 of the specification, which has been amended in the amendment filed April 22, 2010, with the following term " $G-X_1-X_2-X_3-R-X_4-X_5-X_6-K-I-X_7-X_8-K-X_9-X_{10}-K-X_{11}-X_{12}-Z$ (amino acids 1-19 or 1-29 of SEQ ID NO:1);", and replace the term "Z = R or $X_{13}-X_{14}-I-K-X_{15}-X_{16}-X_{17}-X_{18}-L-V-P$ (SEQ ID NO:1);" with the following term "Z = R or $Z_{13}-Z_{14}-Z_{15}-Z_{16}-Z_{17}-Z_{18}-Z_{15}-Z_{16}-Z_{17}-Z_{18}-Z_{15}-Z_{16}-Z_{17}-Z_{18}-Z_{15$

Please replace the term " $G-X_1-X_2-X_3-R-X_4-X_5-X_6-K-I-X_7-X_8-K-X_9-X_{10}-K-X_{11}-X_{12}-Z$ (SEQ ID NO:1);" in the paragraph on page 10, lines 21-32 of the specification, which has been amended in the amendment filed April 22, 2010, with the following term " $G-X_1-X_2-X_3-R-X_4-X_5-X_6-K-I-X_7-X_8-K-X_9-X_{10}-K-X_{11}-X_{12}-Z$ (amino acids 1-19 or 1-29 of SEQ ID NO:1);", and replace the term "Z = R or $X_{13}-X_{14}-I-K-X_{15}-X_{16}-X_{17}-X_{18}-L-V-P$ (SEQ ID NO:1);" with the following term "Z = R or $Z_{13}-Z_{14}-Z_{15}-Z_{16}-Z_{17}-Z_{18}-Z_{15}-Z_{16}-Z_{17}-Z_{18}-Z_{15}-Z_{16}-Z_{17}-Z_{18}-Z_{15}-Z_{16}-Z_{17}-Z_{18}-Z_{15}-Z_{16}-Z_{17}-Z_{18}-Z_{15}-Z_{16}-Z_{17}-Z_{18}-Z_{15}-Z_{16}-Z_{17}-Z_{18}-Z_{15}-Z_{16}-Z_{17}-Z_{18}-Z_{15}-Z_{1$

Please replace the term "G-X₁-X₂-X₃-R-X₄-X₅-X₆-K-I-X₇-X₈-K-X₉-X₁₀-K-X₁₁-X₁₂-Z (SEQ ID NO:1);" in the paragraph on page 25, lines 26-35 of the specification, which has been amended in the amendment filed April 22, 2010, with the following term "G-X₁-X₂-X₃-R-X₄-X₅-X₆-K-I-X₇-X₈-K-X₉-X₁₀-K-X₁₁-X₁₂-Z (amino acids 1-19 or 1-29 of SEQ ID NO:1);", and replace the term "Z = R or X₁₃-X₁₄-I-K-X₁₅-X₁₆-X₁₇-X₁₈-L-V-P (SEQ ID NO:1);" with the following term "Z = R or X₁₃-X₁₄-I-K-X₁₅-X₁₆-X₁₇-X₁₈-L-V-P (amino acids 19-29 of SEQ ID NO:1);".

Examiner's Amendment to the Claims:

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Cancel claim 42.

Claims 50, 60 and 72 have been amended as follows:

50. (Currently amended) The polypeptide of claim 40, wherein

Xaa at position 3 is Leu or Phe;

Xaa at position 4 is Arg or Lys;

Xaa at position 6 is Leu or Phe;

Xaa at position 7 is Arg, Lys or Gly;

Xaa at position 8 is Lys, Arg or EGlu;

Xaa at position 11 is Gly or Lys;

Xaa at position 12 is Lys, Arg or Glu;

Xaa at position 17 is lie or Leu; and

Xaa at position 18 is Ala or Thr.

60. (Currently amended) An isolated polypeptide having antimicrobial activity, comprising the amino acid sequence of <u>amino acids 1-19 of SEQ ID NO: 1:</u>

15

Gly Xaa Xaa Xaa Xaa Xaa Xaa Lys Ile Xaa Xaa Lys Xaa Xaa Lys

5 10

Xaa Xaa (amino acids 1-19 of SEQ ID NO: 1); wherein

Xaa at position 2 is Leu or Arg;

Xaa at position 3 is Leu, Val, lie or Phe;

Xaa at position 4 is Arg or Lys;

Xaa at position 6 is Leu, Val, lie or Phe;

Xaa at position 7 is Arg, Lys, Trp or Gly;

Xaa at position 8 is Lys, Arg, Gly, Met, Asn or Glu;

Xaa at position 11 is Gly, Arg, Lys or Glu;

Xaa at position 12 is Gly, Arg, Lys or Glu;

Xaa at position 14 is Leu or Phe;

Xaa at position 15 is Lys or Arg;

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Xaa at position 17 is lie, Leu, Phe, Cys or Tyr;

Xaa at position 18 is Gly, Ala or Thr; and

Xaa at position 19 is Gin, Arg, Leu or Pro;

wherein each amino acid is independently the D or L form.

72. (Currently amended) The polypeptide of claim 6160, which consists of the amino acid sequence of amino acids 1-19 of SEQ ID NO: 1.

The following is an Examiner's Statement of Reasons for Allowance: The following reference is the closest art to the claimed invention. Tossi *et al.* (Eur. J. Biochem. 250, 549-558 (1997)) teach an antimicrobial peptide of PGG peptide with the amino acid sequence of GLLRRLRKKIGEIFKKYG (Table 1), which is different from the sequence of G-X₁-X₂-X₃-R-X₄-X₅-X₆-K-I-X₇-X₈-K-X₉-X₁₀-K-X₁₁-X₁₂-Z (amino acids 1-19 of SEQ ID NO:1) by two amino acids, where X₁, X₂ and X₄ each is L, X₃ and X₅ each is R, X₆ and X₁₀ each is K, X₇ is G, X₈ is E, X₉ is F, X₁₁ is Y, X₁₂ is G, and Z is R. Thus, Tossi *et al.* do not teach an isolated peptide comprising the amino acid sequence of amino acids 1-19 or 1-29 of SEQ ID NO:1. Therefore, the claims are allowable over the art of record.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chih-Min Kam whose telephone number is (571) 272-0948. The examiner can normally be reached on 8.00-4:30, Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Manjunath Rao can be reached at 571-272-0939. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Chih-Min Kam/

Primary Examiner, Art Unit 1656

CMK

June 23, 2010